

DOCKET NO.: UOCB-0006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Suzanne M. J. Fleiszig, David J. Evans

and Robert A. Sack

Confirmation No.: 5639

Application No.: 10/823,819

Group Art Unit: 1653

Filing Date: April 14, 2004

Examiner: Not Yet Assigned

For: METHODS AND COMPOSITIONS FOR TREATING OCULAR DISEASE

DATE OF DEPOSIT: January 31, 2005

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Andrew T. Serafini  
REGISTRATION NO.: 41,303

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.
- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).
- ☒ Copies of reference numbers 1-29 and 33 listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of reference numbers 30-32 on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).
- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

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☐ The relevance of those listed references which are not in the English language is as follows:

An English language abstract is included for Reference No. 8. There are no other listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: **January 31, 2005**

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	<b>Docket No.</b> UOCB-0006	<b>Application No.</b> 10/823,819
	<b>Applicant</b> Suzanne M. J. Fleiszig, David J. Evans and Robert A. Sack	
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**EXAMINER**

**DATE CONSIDERED**



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	22	Restrepo, C.I. et al., "Surfactant Protein D Stimulates Phagocytosis of <i>Pseudomonas Aeruginosa</i> by Alveolar Macrophages," <i>Am. J. Respir. Cell Mol. Biol.</i> , <b>1999</b> , 21(5), 576-585	
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**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	30	5,242,902	09/07/93	Murphy et al.	514	12
	31	6,110,708	08/29/00	Wakamiya	435	69.7
	32	US-2003-0022831	01/30/03	Rothbard et al.	514	12

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	33	WO 01/80633 A2	11/01/01	PCT		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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